

# Application for the Administration of Estate

Date .....

To: Bank of Ayudhya Public Company Limited/the Management Company

As Mr. /Miss /Mrs. .... (hereinafter referred to as the "Deceased")

as a fund account holder, died on ....., I/we .....

address ..... telephone number.....,who

have been appointed as the Administrator of the Deceased's estate according to the Red Case No. .... dated....., wish to:

- Transfer the investment units of the Deceased in the following funds to the inheritor(s) of the Deceased as specified.
- Redeem the investment units of the Deceased in the following funds and request you to deposit the redemption proceeds to the bank account of the Deceased as specified in the Account Opening Form or the Amendment Form thereto (if any).
- Redeem the investment units of the Deceased in the following funds and request you to deposit the redemption proceeds to the bank account of the inheritor as per the following information:

Account Name ..... Bank ..... Account Number .....

- Close the fund account of the Deceased as mentioned above after the redemption or transfer of all investment units in the fund account has been fully completed
- Others .....

Fund Account Number of the Deceased	Fund Name	Number of Units	Transferee Heir(s) (Applicable to the case of investment units transfer only)	
			Name-Surname	Fund Account Number

For the Heir(s) receiving the transfer of investment units:

- I/We undertake to confirm the transfer of mutual funds as specified in this order under the following circumstances:
  1. Investment in the fund with a risk level higher than my/our risk profile: I/We truly acknowledge that the fund I/we wish to invest has a risk level higher than my/our acceptable risk profile or the investment may not be in accordance with the recommendations given by the Management Company.
  2. The fund I/we wish to invest is not fully hedged: I/We truly acknowledge that this fund may be subject to currency risk and I/we may incur a foreign exchange loss/gain or get back the money less than the original investment amount.

..... Heir(s)  
 ( ) ( ) ( )

Where I have provided the Bank / the Management Company any personal data of any person other than myself: (1) I hereby undertake to verify the accuracy and completeness of personal data provided by me to the Bank / the Management Company, and to update the Bank / the Management Company of any change to the personal data provided; (2) I have obtained consent or warrant that I can rely on other legal basis for the collection, use, disclosure and/or transfer of his/her personal information in compliance with applicable laws; (3) I hereby warrant that I have informed him/her of the Privacy Notice of the Bank / the Management Company as applicable to them; and (4) I hereby warrant that the Bank / the Management Company can lawfully collect, use, disclose and/or transfer of personal data further to the purposes as set out in the Privacy Notice of the Bank / the Management Company as may be amended from time to time, including all purposes as set out in herein.

I/We shall be held responsible for any damages incurred by Bank of Ayudhya Public Company Limited / the Management Company and its staff as a result of performing the duties in accordance with the orders as specified herein. I/We submit herewith the following evidential documents to support the consideration of the Bank / the Management Company:

1. Copy of the court order appointing the Administrator of the Deceased's estate.
2. Copy of the Deceased's Death Certificate.
3. Copy of Citizen Identification Card and copy of House Registration of the Administrator.
4. Certificate confirming the finality of the court order appointing the Administrator.

- Remarks:
- The copy of the transfer transaction must specify the fund account number of the transferee, if such account is not available, the fund account must be opened first.
  - Documents Nos. 1-4 must be signed and certified as a true copy by the Administrator of Estate.
  - This form can be used in lieu of the Redemption Form as per the Fund Account Number, Fund Name, Number of Units, and details for receiving the redemption proceeds; and the Bank / the Management Company will execute the redemption of investment units after verifying the validity of the documents.
  - If the Transferee Heir(s) has specified the fund account number, this form can be used in lieu of the Transfer Form.

Please proceed accordingly.

..... , in the capacity of the Administrator  
 ( )

For officials use only	Investment Consultant:	Recorded by:
	License No. : Employee ID:	Employee ID:
	Referred by:	Authorized by:
	Employee ID:	Employee ID:

